

General information about company	
Scrip code	540175
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE964R01013
Name of the entity	REGENCY FINCORP LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	GAURAV KUMAR	BRJPK2015C	06717452	Executive Director	Chairperson	MD	04-12-1977
2	Mrs	SAHARA SHARMA	BBCPK1148K	07682859	Executive Director	Not Applicable		22-01-1983
3	Mr	VISHAL RAI SARIN	APJPS0737C	08758350	Executive Director	Not Applicable		30-03-1978
4	Mr	SUNIL JINDAL	AEWPJ0470M	02909819	Non-Executive - Independent Director	Not Applicable		04-03-1984
5	Mr	GURINDER SINGH SANDHU	AYVPS8195D	02507381	Non-Executive - Independent Director	Not Applicable		10-04-1969
6	Mr	KAMAL KUMAR	AJNPM0088J	03050458	Non-Executive - Independent Director	Not Applicable		26-08-1978
7	Mr	SARFARAZ MALLICK	ASGPM2874E	10255433	Non-Executive - Non Independent Director	Not Applicable		27-01-1983
8	Mr	JENISH PANKAJ KUMAR	ACMPS5861P	07986786	Non-Executive - Independent Director	Not Applicable		06-05-1980

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		13-09-2017	24-08-2022			2	0	2	0		
2	NA		24-08-2022	23-06-2023			2	0	0	0		
3	NA		05-09-2020				1	0	0	0		
4	NA		19-03-2021			33.13	1	1	2	1		
5	NA		02-11-2019			49.29	1	1	2	1		
6	NA		10-10-2022			14.22	1	1	0	0		
7	NA		31-10-2023				1	0	0	0		
8	NA		08-06-2020		26-10-2023	40.19	1	1	2	1		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Chairperson	02-11-2019		
2	07986786	JENISH PANKAJ KUMAR	Non-Executive - Independent Director	Member	08-06-2020	26-10-2023	
3	06717452	GAURAV KUMAR	Executive Director	Member	24-08-2022		
4	02909819	SUNIL JINDAL	Non-Executive - Independent Director	Member	31-10-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02909819	SUNIL JINDAL	Non-Executive - Independent Director	Chairperson	19-03-2021		
2	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Member	02-11-2019		
3	07986786	JENISH PANKAJ KUMAR	Non-Executive - Independent Director	Member	08-06-2020	26-10-2023	
4	03050458	KAMAL KUMAR	Non-Executive - Independent Director	Member	31-10-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02909819	SUNIL JINDAL	Non-Executive - Independent Director	Chairperson	31-10-2023		
2	02507381	GURINDER SINGH SANDHU	Non-Executive - Independent Director	Member	02-11-2019		
3	06717452	GAURAV KUMAR	Executive Director	Member	24-08-2022		
4	07986786	JENISH PANKAJ KUMAR	Non-Executive - Independent Director	Chairperson	08-06-2020	26-10-2023	

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	29-09-2023				Yes	7	7	4
2		26-10-2023	26		Yes	6	6	3
3		31-10-2023	4		Yes	6	6	3
4		07-11-2023	6		Yes	7	7	3
5		18-12-2023	40		Yes	7	7	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	29-09-2023				Yes	3	3	2	0
2	Audit Committee	07-11-2023	38			Yes	3	3	2	0
3	Audit Committee	18-12-2023	40			Yes	3	3	2	0
4	Nomination and remuneration committee	29-09-2023				Yes	3	3	2	0
5	Nomination and remuneration committee	31-10-2023	31			Yes	3	3	3	0
6	Nomination and remuneration committee	18-12-2023	47			Yes	3	3	3	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Stakeholders Relationship Committee	08-07-2023				Yes	3	3	2	0
8	Stakeholders Relationship Committee	31-10-2023	114			Yes	3	3	2	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Gaurav kumar
2	Designation	Managing Director

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Signatory Details	
Name of signatory	GAURAV KUMAR
Designation of person	Managing Director
Place	ZIRAKPUR
Date	19-01-2024

